



690.00 - 101 - A/N  
144.00 - 102  
130.00 - 103

1

Docket: 0756-711

13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT Application of: )

) Application Division

Shunpei YAMAZAKI et al. )

Serial No. 07/852,517 )

Filed: March 17, 1992 )

For: SEMICONDUCTOR MATERIAL )  
AND METHOD FOR FORMING )  
THE SAME AND THIN FILM )  
TRANSISTOR )

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on 4/16/92.

*Phyllis D. Caldwell*  
Phyllis D. Caldwell

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted dated April 3, 1992, submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration & Power of Attorney
2. Copy of Notice to File Missing Parts of Application -

Filing Date Granted

P 00254 06/01/92 07852517 19-2380 030 105 180.00CR

3. Assignment

4. Statutory Basic Filing Fee, Surcharge, and Assignment Recording Fee (if any) as calculated below:

A. For	No. Filed	No. Extra	Rate	Fee
Basic Fee				\$345/\$690
Total Claims	20	- 20 = 0	x \$10/\$20	
Indep. Claims	5	- 3 = 2	x \$36/\$72	\$144
Multiple Dependent Claim			+ \$110/\$220	
<u>X</u> Surcharge			+ \$65/\$310	<u>\$310</u>

060 JK 05/05/92 07852517	1 101	Total 690.00 CK	\$1,144
060 JK 05/05/92 07852517	1 102	144.00 CK	
060 JK 05/05/92 07852517	1 105	130.00 CK	

050 EK 05/05/92 07852517

1 105 180.00 CK  
K. refund  
\$180.00 5076

B. [ ] Preliminary Amendment attached, to be entered at once. Amendment claim fee calculated as follows:

Total Claims	- 20 =	x \$10/\$20
Indep.Claims	- 3 =	x \$36/\$72

Total Amendment Fee	\$
Assignment Recording Fee	\$ 40.00

Total Filing Fee (from Paragraph A)	<u>\$1,144.00</u>
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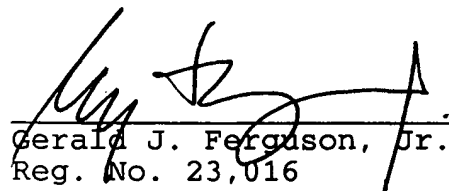
TOTAL FEE	\$1,184.00
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5. A check in the amount of \$1,184.00 is attached to cover the filing fee, surcharge, and assignment recording fee.

All formal requirements now having been met, it is requested that the Official Filing Receipt be issued.

The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 (except the Issue Fee) which may be required now or hereafter, or credit any overpayment, to Deposit Account No. 19-2380 (0756-711/PDC) duplicate of this sheet is attached.

Respectfully submitted,



Gerald J. Ferguson, Jr.  
Reg. No. 23,016

Sixbey, Friedman, Leedom & Ferguson, P.C.  
2010 Corporate Ridge, Suite 600  
McLean, Virginia 22102  
(703) 790-9110



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

FILING DATE

FIRST NAMED APPLICANT

ATTY DOCKET NO./TITLE

07 050,547 0017142 YAMAGUCHI

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0750-111

#3

STEVEY, FRIEDMAN, LUCENON & FERGUSON  
2010 CORPORATE BLDG. STE. 300  
MCLEAN, VA 22102

11000

DATE MAILED:

04/03/90

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

A filing date has been granted to this application. However, the following parts are missing.

If all missing parts are filed within the period set below, the total amount owed by applicant as a

☒ large entity, ☐ small entity (verified statement filed), is \$ 964<sup>00</sup>.

1. ☒ The statutory basic filing fee is: ☐ missing ☐ insufficient. Applicant as a ☒ large entity  
☐ small entity, must submit \$ 610<sup>00</sup> to complete the basic filing fee and **MUST ALSO SUBMIT THE SURCHARGE AS INDICATED BELOW.**
2. ☒ Additional claim fees of \$ 144<sup>00</sup> as a ☒ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. **NO SURCHARGE IS REQUIRED FOR THIS ITEM.**
3. ☒ The oath or declaration:  
☒ is missing.  
☐ does not cover items omitted at time of execution.  
An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
5. ☐ The signature to the oath or declaration is: ☐ missing; ☐ a reproduction; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:  
\_\_\_\_\_. An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Receipt Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$30.00 under 37 CFR 1.17(k), unless this fee has already been paid. **NO SURCHARGE IS REQUIRED FOR THIS ITEM.**
8. ☐ A \$50.00 processing fee is required for returned checks. (37 CFR 1.21(m)).
9. ☐ Your filing receipt was mailed in error because check was returned without payment.
10. ☐ Other.

An Application Number and Filing Date have been assigned to this application. The missing parts and fees identified above in items 1 and 3-6 must be timely provided **ALONG WITH THE PAYMENT OF A SURCHARGE** of \$120.00 for large entities or \$60.00 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all missing parts and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

Direct the response to, and any questions about, this notice to **ATTENTION: Application Division, Special Handling Unit.**

**A copy of this notice MUST be returned with response.**

For: Manager, Application Division  
(703) 557- 308-1202